

On the stability of critical points of the Sobolev inequality

“Calculus of Variations and Functional Inequalities” - FAU
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Sobolev inequality

The Sobolev inequality states that, for any $u \in \dot{H}^1(\mathbb{R}^n)$, we have

$$\left(\int_{\mathbb{R}^n} |\nabla u|^2 \right)^{\frac{1}{2}} \geq S \left(\int_{\mathbb{R}^n} u^{2^*} \right)^{\frac{1}{2^*}},$$

where $2^* = \frac{2n}{n-2}$ and $S = S(n)$ is a dimensional constant.

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Equality is achieved by Talenti bubbles (Aubin 1976, Talenti 1976) Given $z \in \mathbb{R}^n$ and $\lambda > 0$, the Talenti bubble with center z and concentration λ is given by

$$U[z, \lambda] := (n(n-2))^{\frac{n-2}{4}} \lambda^{\frac{n-2}{2}} \frac{1}{(1 + \lambda^2 |x - z|^2)^{\frac{n-2}{2}}}.$$

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Remark: The scalar coefficient in the definition of U guarantees that $\|\nabla U[z, \lambda]\|_{L^2} (= S^{n/2})$ and $\|U[z, \lambda]\|_{L^{2^*}}$ do not depend on z and λ .

Sharp quantitative stability

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$$\int_{\mathbb{R}^n} |\nabla u|^2 - S^2 \left(\int_{\mathbb{R}^n} u^{2^*} \right)^{\frac{2}{2^*}} \gtrsim \text{dist}_{\dot{H}^1}(u, \mathcal{M}_{TB})^2,$$

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where \mathcal{M}_{TB} denotes the manifold of Talenti bubbles, i.e.,

$$\text{dist}_{\dot{H}^1}(u, \mathcal{M}_{TB}) := \inf_{c \in \mathbb{R}, z \in \mathbb{R}^n, \lambda > 0} \left\| \nabla \left(u - cU[z, \lambda] \right) \right\|_{L^2}.$$

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Remark: This result is sharp both with respect to the exponent and with respect to the choice of the distance.

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Hence $dF(u)[\varphi] = 0$ if and only if $\int_{\mathbb{R}^n} \nabla u \cdot \nabla \varphi = \lambda \int_{\mathbb{R}^n} |u|^{2^*-2} u \varphi$ (where λ depends on u but not on φ),

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$$dF(u) = 0 \iff -\Delta u = \lambda |u|^{2^*-2} u.$$

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If $u \in \dot{H}^1(\mathbb{R}^n)$ solves (EL) and $u \geq 0$ in \mathbb{R}^n , then u is a Talenti bubble.

Thus, the positive critical points of the Sobolev inequality are exactly the Talenti bubbles, so critical points and minimizers coincide.

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Question: If a function $u \geq 0$ *almost solves* $-\Delta u = u^{2^*-1}$ (i.e., $\Delta u + u^{2^*-1}$ is small in a suitable norm), is it close to a Talenti bubble?

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Yes, as was shown in Struwe 1984.

Qualitative stability of critical points

Theorem

Let $u \in \dot{H}^1(\mathbb{R}^n)$ be a nonnegative function $u \geq 0$ such that

$$\left(k - \frac{1}{2}\right)S^n \leq \int |\nabla u|^2 \leq \left(k + \frac{1}{2}\right)S^n,$$

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$$\inf_{(z_i, \lambda_i)_{1 \leq i \leq k}} \left\| \nabla \left(u - \sum_{i=1}^k U[z_i, \lambda_i] \right) \right\|_{L^2} = \omega \left(\|\Delta u + u^{2^*-1}\|_{H^{-1}} \right),$$

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for a suitable modulus of continuity ω (which does not depend on u). Moreover the Talenti bubbles $U[z_1, \lambda_1], U[z_2, \lambda_2], \dots, U[z_k, \lambda_k]$ are weakly interacting.

Quantitative stability – Single bubble case

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Remark: While this result paved the way for the multibubble case, the latter is significantly more delicate and features unexpectedly different behaviors depending on the dimension.

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$$\left\| \nabla \left(u - \sum_{i=1}^k U_i \right) \right\|_{L^2} \lesssim \|\Delta u + u^{2^*-1}\|_{H^{-1}}.$$

Moreover, the interaction between the bubbles can be estimated by

$$\int_{\mathbb{R}^n} U_i^{2^*-1} U_j \lesssim \|\Delta u + u^{2^*-1}\|_{H^{-1}}.$$

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$$\left\| \nabla \left(u - \sum_{i=1}^k U_i \right) \right\|_{L^2} \lesssim \Phi(\|\Delta u + u^{2^*-1}\|_{H^{-1}}),$$

where $\Phi(t) := t|\log(t)|^{\frac{1}{2}}$ if $n = 6$ and $\Phi(t) = t^{(n+2)/(2(n-2))}$ for $n \geq 7$.

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By computing the first variation, we deduce that $\rho \perp_{\dot{H}^1} U_i, \partial_z U_i, \partial_\lambda U_i$.

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- 2 The second term will be controlled through a *nondegeneracy condition* (using that ρ is orthogonal to the first eigenfunctions of a spectral operator).

Quantitative stability – Main estimate

By testing ρ against suitable test functions and using abundantly the orthogonality conditions, one obtains

$$\begin{aligned} \|\nabla\rho\|_{L^2}^2 &\leq \|\nabla\rho\|_{L^2}\|\Delta u + u^{2^*-1}\|_{H^{-1}} \\ &+ (2^* - 1) \int \left(\sum \alpha_i U_i\right)^{2^*-2} \rho^2 \leq (1 - \delta)\|\nabla\rho\|_{L^2}^2 \\ &+ \|\nabla\rho\|_{L^2} \sum_{i \neq j} \int U_i^{2^*-1} U_j \leq \|\nabla\rho\|_{L^2} \cdot \left(\varepsilon\|\nabla\rho\|_{L^2} + C\|\Delta u + u^{2^*-1}\|_{H^{-1}}\right) \end{aligned}$$

- 1 The first term of the RHS is good, so we do not have to handle it.
- 2 The second term will be controlled through a *nondegeneracy condition* (using that ρ is orthogonal to the first eigenfunctions of a spectral operator).
- 3 The third term is expected to be small because it involves interactions between different bubbles, which should be weakly interacting. It is the hard term to control.

Quantitative stability – Nondegeneracy

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Since ρ is orthogonal to all of them, (ND) follows.

Quantitative stability – Hard term

It remains to prove, for $i \neq j$,

$$\int U_i^{2^*-1} U_j \leq \varepsilon \|\nabla \rho\|_{L^2} + C \|\Delta u + u^{2^*-1}\|_{H^{-1}}$$

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and (remember that $\alpha_i = 1$ in the statement we want to prove)

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This is the core of the proof and is done by induction over i , starting from the most concentrated bubble U_i and through a delicate localization procedure.

Ongoing work and future developments

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- The analogous result for the **isoperimetric inequality** is an interesting open problem. Currently it is known that if a domain has *almost constant mean curvature* then it is close to a *necklace of spheres*. The sharp quantitative version of this result with the natural norms is not known.

Thank you for your attention

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